



#3

Docket No. C0464.097255

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Charles B. KATZ, et al.

Group Unit: 2761

Serial No. 08/914,789

Examiner: Not Assigned

Filed: August 20, 1997

For: METHOD AND SYSTEM FOR PERFORMING CRA, HMDA, AND FAIR
LENDING ANALYSIS AND REPORTING FOR A FINANCIAL INSTITUTION

TRANSMITTAL OF MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts of Application -- Filing Date Granted
mailed December 31, 1997, the following items are submitted herewith to complete the formal
filing requirements for the above-identified application:


- (1) An Assignment form assigning the invention from the inventors to CITIBANK, N.A.;
- (2) A check in the amount of \$40.00 for the recordation fee pursuant to 37 C.F.R. § 1.21(h);
- (3) A Declaration/Power of Attorney for patent application form, executed by the inventors; and
- (4) A copy of the Notice to File Missing Parts filing date granted mailed on December 31, 1997.

03/04/1998 TWILLIAM 00000030 08/914789
01 FC:105 130.00

If any additional fees are required in connection with the filing of this response, the Commissioner is hereby authorized to charged the same to the deposit account no. 11-0855. A copy of this correspondence is provided for this purpose.

Respectfully submitted,

Date: 2/27/98


for George T. Marcou Reg. No. P-41,668
Registration No. 33,014

KILPATRICK STOCKTON LLP
Suite 800
700 13th Street, N.W.
Washington, D.C. 20005
(202) 508-5846



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

087914,789 08/20/97 KATZ C 00464.097255

DALE CURTIS HOGUE SR
KILPATRICK STOCKTON
SUITE 800
700 - 13TH STREET N.W.
WASHINGTON DC 20005

0222/1231

NOT ASSIGNED

2761

DATE MAILED: 12/31/97

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 130.00 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 130.00.

- ☒ 1. The statutory basic filing fee is:
 - ☐ missing.
 - ☐ insufficient.Applicant must submit \$ _____ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☐ 3. The oath or declaration:
 - ☐ is missing.
 - ☐ does not cover the newly submitted items.
 - ☐ does not identify the application to which it applies.
 - ☐ does not include the city and state or foreign country of applicant's residence.An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☒ 4. The signature(s) to the oath or declaration is/are:
 - ☒ missing.
 - ☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
- ☐ 6. A \$ _____ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- ☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

C. Mollen
Customer Service Center
Initial Patent Examination Division (703) 308-1202